COPY OF PAPERS ORIGINALLY FILED

PATENT Attorney Reference Number 6580-61415

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

40EMATTA re application of: Bratt et al.

Application No. 10/020,518

AL:mgs 6/13/02 6580-61415 121077

Filed: December 14, 2001

For: HYBRID OPTICAL TRANSCEIVERS FOR

FREE SPACE OPTICAL COMMUNICATION

Examiner: Not yet assigned

Date: June 13, 2002

Art Unit: Not yet assigned

CERTIFICATE OF MAILING

I hereby certify that this paper and the documents referred to as being attached or enclosed herewith are being deposited with the United States Postal Service on June 13, 2002, as First Class Mail in an envelope addressed to:

BOX MISSING PARTS, COMMISSIONER FOR PATENTS,

WASHINGTON D.C. 20231.

Attorney for Applicant

MISSING PARTS TRANSMITTAL LETTER

BOX MISSING PARTS COMMISSIONER FOR PATENTS Washington, DC 20231

Enclosed for filing in the application referenced above are the following:

- Copy of Notice to File Missing Parts of Application--Filing Date Granted
- Basic Filing Fee of \$740.00
- Additional claim fee of \$738.00
- Surcharge for Late Filing of Fee or Oath or Declaration
 - \$130.00 All Others
- \boxtimes 11 sheets of drawings
- Applicant petitions for an extension of time for one month(s). If an additional extension of time is required please consider this a petition therefor.
 - \$110.00 Extension Fee
- \triangle A check in the amount of \$1,718.00 to cover the above-listed fees
- The Director is hereby authorized to charge any additional fees that may be required, or credit over-payment, to Account No. 02-4550. A copy of this sheet is enclosed.

06/24/2002 SZEWDIE1 00000007 10020518

05 FC:115 LLP, 20 5F

Please return the enclosed postcard to confirm that the items listed above have been received.

Respectfully submitted,

KLARQUIST SPARKMAN, LLP

W 3

By Michael D. Jones

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cc: Docketing



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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/020,518

12/14/2001

Nicholas Eichhorn Bratt

6580-61415

24197 KLARQUIST SPARKMAN, LLP 121 SW SALMON STREET SUITE 1600 PORTLAND, OR 97204



CONFIRMATION NO. 8701
FORMALITIES LETTER
OC000000007672409

Date Mailed: 03/19/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

06/24/2002 SZEWDIE1 00000007 10020518

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01 FC:101 740.00 GP 02 FC:102 504.00 GP 03 FC:403 234.00 GP 04 FC:405 130.00 GP FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
 Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$738.
 - \$234 for 13 total claims over 20.
 - \$504 for 6 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1608.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
 - Drawings must be in dark ink (not pencil), except where color drawings or photographs are permitted.

A copy of this notice MUST be returned with the reply.

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Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE